



ATTORNEY DOCKET NO.: EMC04-08(04032)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Norris V. Li and Valery Altman

Serial No.: 10/814,663

For: SYSTEM AND METHODS FOR IMPLEMENTING AN ADAPTIVE  
OBJECT MODEL

Filing Date: March 31, 2004

Examiner: Unassigned

Art Unit: 2171

Conf. No.: 5960

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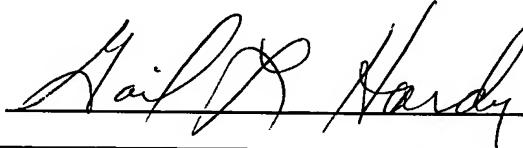
Certificate of Mailing Under 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: **MAIL STOP MISSING PARTS**, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450 on:

Date: October 12, 2004

By: Gail L. Hardy

(Typed or printed name of person mailing  
Document, whose signature appears below)

Signature: 

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**MAIL STOP MISSING PARTS**

Commissioner for Patents

PO Box 1450

Alexandria, VA 22313-1450

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

Sir:

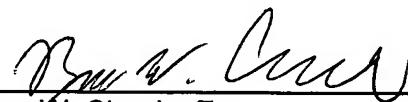
In response to the Notice to File Missing Parts dated June 14, 2004, in connection with the above-identified Application, Applicants enclose an executed Declaration/Power of Attorney, Copy of executed Assignment, Filing Fees including One-Month Extension Fee, and a surcharge under 37 C.F.R. 1.136(a)

- 2 -

A general authorization is hereby granted to charge deposit account number 50-0901 for any fees required under 37 C.F.R. 1.16 and 1.17 in order to maintain pendency of this patent application or for any additional fees that may be due in this matter at this time.

Respectfully submitted,

CHAPIN & HUANG, L.L.C.,



Barry W. Chapin, Esq.  
Attorney for Applicants  
U.S.P.T.O. Registration No. 39,934  
Chapin & Huang, LLC  
1700 West Park Drive  
Westborough, Massachusetts 01581  
Telephone: 508-366-9600  
Facsimile: 508-616-9805

Attorney Docket No.: EMC04-08(04032)

Date: October 12, 2004



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**MAIL STOP MISSING PARTS**  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Sir:

Enclosed herewith are the following:

- [x] Transmittal Letter (this form, 3 Pages, in duplicate), Total Pages: 6;
- [x] Copy of Notice to File Missing Parts of Application; Total Pages: 2;
- [x] Response to Notice to File Missing Parts of Application; Total Pages: 2;
- [x] Petition for TWO-MONTH EXTENSION of Time, Total Pages: 2;
- [x] Executed Declaration and Power of Attorney, Total Pages: 3;
- [x] Assignment Recordation Cover Sheet, Total Pages: 1;
- [x] Copy of Executed Assignment, Total Pages: 3;
- [x] Return Receipt Pre-paid Postcard (in duplicate), Total Postcards: 2;
- [x] Authorization to charge Deposit Account No. 50-0901, if required;
- [x] Check in the amount of \$ 1,922.00 which includes the following:

For:	<b>Notice To File Missing Parts:</b> 10 Extra Claims @ 18.00 each = \$180.00 4 Extra Ind. Claims @ 88.00 each = \$352.00 Filing Fee: \$790.00 Assignment Filing Fee: \$ 40.00 Surcharge Fee: \$130.00 Two-Month Extension Fee: \$430.00
Amount:	<b>\$1,922.00</b>

If the enclosed fee is insufficient, the balance may be charged to the account of the undersigned, Deposit Account No. 50-0901.

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If the enclosed papers or fees are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned collect at (508) 366-9600, in Westborough, Massachusetts.

Respectfully submitted,

CHAPIN & HUANG, L.L.C.,

  
Barry W. Chapin, Esq.  
Attorney for Applicants  
U.S.P.T.O. Registration No. 39,934  
Chapin & Huang, LLC  
1700 West Park Drive  
Westborough, Massachusetts 01581  
Telephone: 508-366-9600  
Facsimile: 508-616-9805

Attorney Docket No.: EMC04-08(04032)

Dated: October 12, 2004

ZFW



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/814,663	03/31/2004	Norris V. Li	EMC04-08(04032)

Barry W. Chapin, Esq.  
 CHAPIN & HUANG, L.L.C.  
 Westborough Office Park  
 1700 West Park Drive  
 Westborough, MA 01581

CONFIRMATION NO. 5960  
 FORMALITIES LETTER



\*OC000000012927385\*

Date Mailed: 06/14/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

10/18/2004 HDEMESS1 00000008 10814663

## FILED UNDER 37 CFR 1.53(b)

02 FC:1001	790.00 OP
03 FC:1051	130.00 OP
04 FC:1201	350.00 OP
05 FC:1202	180.00 OP

Filing Date Granted

COPY

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of **\$524** as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$1424** for a Large Entity

- **\$770** Statutory basic filing fee.
- **\$130** Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is **\$524**

- **\$344** for 4 independent claims over 3.
- **\$180** for 10 total claims over 20.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

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*A copy of this notice **MUST** be returned with the reply.*

*Sacch*  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE